

Sheet 1 of 1

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 108991		APPLICATION NO. 09/816,211	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT Tetsuro IWAMOTO			
				FILING DATE March 26, 2001			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
<i>gms</i>	1	Nikkei Financial Newspaper, Page 1, 12/11/1998 (with English translation)					
	2	Nikkei Financial Newspaper, Page 1, 12/16/1999 (with English translation)					
<i>gms</i>	3	Nihon Keizai Shimbun Evening Newspaper, Page 1, 02/25/2000 (with English translation)					
EXAMINER				DATE CONSIDERED			
<i>gms</i>				<i>3/18/06</i>			
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: March 14, 2003

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						
<i>Jim G...</i>	1	Nikkei Financial Newspaper, Page 7, 10/25/1999 (with English translation)				
	2	Nikkei Financial Newspaper, Page 7, 12/15/1993 (with English translation)				
	3	Nihon Keizai Shimbun Morning Newspaper, Page 3, 04/26/1998 (with English translation)				
<i>Jim G...</i>						
EXAMINER		<i>Jim G...</i>			DATE CONSIDERED <i>3/18/06</i>	
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Date: November 4, 2002

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(REV. 8-83)

US Dept. of Commerce
PATENT & TRADEMARK OFFICE

ATTY DOCKET NO.
108991

APPLICATION NO.
New US Patent Application

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT
Tetsuro IWAMOTO

FILING DATE
March 26, 2001

10972 U.S. PTO
09/816211
03/26/01

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
<i>QWA</i>	1	JP A 5-334309 (w/ abstract)	12/17/1993	JAPAN		
<i>QWA</i>	2	JP A 6-168219 (w/ abstract)	06/14/1994	JAPAN		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

<i>QWA</i>	3	K. MASAOKI et al., "Credit Risk", Quantification of Financial Risk, vol. 2, pp. 65-81				

EXAMINER

DATE CONSIDERED

3/18/06

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	Technology CLASS	SUB CLASS	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
		K. MASAOKI et al., "Credit Risk", Quantification of Financial Risk, vol. 2, pp. 65-81					
EXAMINER					DATE CONSIDERED 3/18/06		
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